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# NOTICE OF ALLOWANCE AND FEE(S) DUE

32294 7590 03/15/2012 Squire Sanders (US) LLP 8000 TOWERS CRESCENT DRIVE 14TH FLOOR

VIENNA, VA 22182-6212

CEHIC, KENAN

EXAMINER
CEHIC, KENAN

ART UNIT

PAPER NUMBER

2473

DATE MAILED: 03/15/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/792.092	03/04/2004	Toni Paila	60091.00300	4087

TITLE OF INVENTION: IMPLEMENTING MULTICASTING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	06/15/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

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Squire Sanders 8000 TOWERS 14TH FLOOR VIENNA, VA 2:	(US) LLP CRESCENT DRIV	5/2012 E	I h Stá ad tra	Cert tereby certify that this ates Postal Service widressed to the Mail ansmitted to the USPT	ificate of Mailir is Fee(s) Transm th sufficient pos Stop ISSUE FI O (571) 273-28	ittal is being stage for first EE address a	deposited with the United class mail in an envelope bove, or being facsimile
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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DO	CKET NO.	CONFIRMATION NO.
10/792,092	03/04/2004	•	Toni Paila	<u> </u>	60091.00300		4087
ITLE OF INVENTION	: IMPLEMENTING MU	JLTICASTING					
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FR 1.363).  Change of corresp Address form PTO/SE  "Fee Address" ind. PTO/SB/47; Rev 03-0 Number is required.  ASSIGNEE NAME A PLEASE NOTE: Unl	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Com	inge of Correspondence " Indication form ed. Use of a Customer A TO BE PRINTED ON T	data will appear on the	o 3 registered patent tively, gle firm (having as a agent) and the name orneys or agents. If n e printed.  ype) patent. If an assigned assignment.	attorneys 1_ member a 2_ s of up to o name is 3_ e is identified b	elow, the dox	rument has been filed for
lease check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🖵 Cor	poration or othe	er private grou	p entity 🚨 Government
a. The following fee(s) are submitted:  I Issue Fee  Publication Fee (No small entity discount permitted)  Advance Order - # of Copies			<ul> <li>b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>				
a. Applicant claim	tus (from status indicate s SMALL ENTITY state	us. See 37 CFR 1.27.	☐ b. Applicant is no lo	nger claiming SMAL	L ENTITY statu		·
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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32294 75	90 03/15/2012	EXAM	INER	
Squire Sanders (U	*	CEHIC, KENAN		
8000 TOWERS CRESCENT DRIVE 14TH FLOOR			ART UNIT PAPER NUMBER	
VIENNA, VA 221	82-6212		2473	

DATE MAILED: 03/15/2012

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 508 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 508 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	10/702 002	DAILA ET AL	
Notice of Allowability	10/792,092 <b>Examiner</b>	PAILA ET AL.  Art Unit	
-		0.470	
	KENAN CEHIC	2473	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not included nunication will be mailed in due co	d ourse. <b>THIS</b>
1. ☑ This communication is responsive to 12/29/2011.			
<ol> <li>An election was made by the applicant in response to a rest the restriction requirement and election have been incorporate</li> </ol>		h during the interview on;	
3. ☑ The allowed claim(s) is/are <u>1-15</u> .			
<ol> <li>Acknowledgment is made of a claim for foreign priority under</li> <li>a)</li></ol>	er 35 U.S.C. § 119(a)-(d) or	(f).	
1.   Certified copies of the priority documents have	e been received.		
2.   Certified copies of the priority documents have	been received in Applicati	on No	
3. 🛛 Copies of the certified copies of the priority do	cuments have been receive	ed in this national stage application	on from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requ	uirements
5. A SUBSTITUTE OATH OR DECLARATION must be submir INFORMAL PATENT APPLICATION (PTO-152) which give			TICE OF
6. CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.		
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Revie	w ( PTO-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			oack) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO</li> </ol>			
Attachment(s)	5 <b></b>	of-west Detect A . I' . I'	
1. Notice of References Cited (PTO-892)		nformal Patent Application	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)		Summary (PTO-413), ./Mail Date	
Information Disclosure Statements (PTO/SB/08),     Paper No./Mail Date	7. 🛛 Examiner's	s Amendment/Comment	
Examiner's Comment Regarding Requirement for Deposit of Biological Material	s Statement of Reasons for Allow	ance	
	9. 🔲 Other	<del>_</del>	
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#### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Douglas H. Goldhush on 03/08/2012.

- a. Claim 1 and 13 is to be replaced with the below claim language:
  - 1. (Currently Amended) A method, comprising:

transmitting multicast data packets in at least one first multicast tree from one transmitter through a plurality of multicast controllers to a plurality of recipients, wherein only unidirectional downlink communication exists between the multicast controllers and the recipients;

generating at least one second multicast tree reserved for control messages in an internet protocol network beginning from a network multicast controller and ending to one or more multicast controllers at cell level; and

the cell level multicast controller originates a message to join the second multicast tree, if the join message is received, then transmitting the control messages from the network multicast controller along the at least one second multicast tree reserved for control messages to the at least one multicast controller at cell level, the control messages comprising information on the multicast transmission of a internet protocol network and a command configured to connect to the at least one first multicast tree of the internet protocol network configured for multicasts.

- 13.(Currently Amended) An arrangement for implementing multicasting in internet protocol networks, the arrangement comprising:
- a plurality of routers configured to transmit different components in the internet protocol networks to each other;
- at least one first multicast tree configured to transmit multicast packets through a plurality of multicast controllers to a plurality of recipients, wherein only unidirectional downlink communication exists between the multicast controllers and the recipients;
- a plurality of cell-level multicast controllers configured to transmit packets to the plurality of receivers; and
- a network multicast controller that is arranged to control the cell-level multicast controllers,

wherein an internet protocol network comprises at least one second multicast tree reserved for control messages and configured to route control messages beginning from the network multicast controller and ending to the plurality of cell-level multicast controllers, wherein the cell level multicast controller originates a message to join the second multicast tree, and if the join message is received, the network multicast

controller is then configured to transmit the control messages along the at least one second multicast tree to the plurality of cell-level multicast controllers, and the control messages comprise information on the multicast transmission of the internet protocol network and a command configured to connect to the at least one first multicast tree of the internet protocol network configured for multicast transmissions.

b. Cancel claim 16.

#### Reason for Allowance

For claim 1 and similarly 13, the closest prior art Thompson (US 2002/0073086) discloses a multicast tree that transmits a join command to edge devices, where based on this command the edge devices connect to a second multicast tree for multicasts. The second closest prior art Ollikainen et al (US 2002/0035730) discloses setting up multicast for a end device that does not have an uplink to its immediate multicast transmitter. However, the prior art does not by either anticipation or combination disclose the following:

"transmitting multicast data packets in at least one first multicast tree from one transmitter through a plurality of multicast controllers to a plurality of recipients, wherein only unidirectional downlink communication exists between the multicast controllers and the recipients;

Application/Control Number: 10/792,092

Art Unit: 2473

generating at least one second multicast tree reserved for control messages in an internet protocol network beginning from a network multicast controller and ending to one or more multicast controllers at cell level; and the cell level multicast controller originates a message to join the second multicast tree, if the join message is received, then transmitting the control messages from the network multicast controller along the at least one second multicast tree reserved for control messages to the at least one multicast controller at cell level, the control messages comprising information on the multicast transmission of a internet protocol network and a command configured to connect to the at least one first multicast tree of the internet protocol network configured for multicasts."

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#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KENAN CEHIC whose telephone number is (571)270-3120. The examiner can normally be reached on Monday through Friday 8:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, KWANG BIN YAO can be reached on (571) 272-3182. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 2473

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kenan Cehic/ Examiner, Art Unit 2473

/STEVEN H.D NGUYEN/

Primary Examiner, Art Unit 2473